A Very Brief Introduction to Lattice-Based Homomorphic Encryption

Erkay Savaş

Department of Computer Science and Engineering Sabancı University

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- μ : message
- pk: public key
- $c = E(\mu, pk)$: ciphertext

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Multiplicative Homomorphism:

$$E(\mu, pk) \odot E(\widetilde{\mu}, pk) = E(\mu \cdot \widetilde{\mu}, pk)$$

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- **Example:** q = 7, $F_7 = \{-3, -2, -1, 0, 1, 2, 3\}$

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- Then, ${\rm erfc}\left(\frac{a}{\sigma\sqrt{2}}\right)$ gives the probability that a sample lies outside of (-a,a).
- Pick a B_0 so that $\operatorname{erfc}\left(\frac{B_0}{\sigma\sqrt{2}}\right)$ is negligible.

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Hard Problems

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 - **Ring-LWE Search Problem:** Pick $a, s \in \mathcal{R}_q$ and $e \leftarrow D_{\mathcal{R},\sigma}$ and set $b \leftarrow as + e \pmod{q}$. The search problem is, given the pair (a, b), to output the value s.

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 - **Ring LWE Decision Problem:** Given (a, b) where $a, b \in \mathcal{R}_q$, determine which of the following two cases holds:

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$$) \quad b \leftarrow a \cdot s + e \text{ where } s \leftarrow \mathcal{R}_q \text{ and } e \leftarrow D_{\mathcal{R},\sigma}$$

• R-LWE Problems are still hard even if $s \leftarrow D_{\mathcal{R},\sigma}$

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$$\begin{array}{ll} \textcircled{0} & s, e \leftarrow D_{\mathcal{R},\sigma} \\ \textcircled{0} & a \leftarrow \mathcal{R}_q \\ \textcircled{0} & b \leftarrow as + pe \pmod{q} \end{array}$$

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A PKC based on R-LWE - Encryption

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A PKC based on R-LWE - Encryption

• Let $\mu \in \mathcal{R}_p$ be an arbitrary message

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A PKC based on R-LWE - Encryption

• Let $\mu \in \mathcal{R}_p$ be an arbitrary message

 $e_0, e_1, e_2 \leftarrow D_{\mathcal{R},\sigma}$

Let μ ∈ R_p be an arbitrary message 0 e₀, e₁, e₂ ← D_{R,σ} 0 c₀ ← be₀ + pe₁ + μ

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Let μ ∈ R_p be an arbitrary message
e₀, e₁, e₂ ← D_{R,σ}
c₀ ← be₀ + pe₁ + μ
c₁ ← ae₀ + pe₂

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• Let $\mu \in \mathcal{R}_p$ be an arbitrary message

- $e_0, e_1, e_2 \leftarrow D_{\mathcal{R},\sigma}$
- $\bigcirc c_0 \leftarrow be_0 + pe_1 + \mu$
- $\bigcirc c_1 \leftarrow ae_0 + pe_2$
 - Ciphertext: (c_0, c_1)

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A PKC based on R-LWE - Decryption

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$$\mu \leftarrow (c_0 - c_1 s \pmod{q}) \pmod{p}$$

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- $\mu \leftarrow (c_0 c_1 s \pmod{q}) \pmod{p}$
- Decryption is a vector product $\langle (c_0, c_1), (1, -s) \rangle$ where

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- Decryption is a vector product $\langle (c_0, c_1), (1, -s) \rangle$ where
 - secret key: (1, -s)
 - ciphertext: (c_0, c_1)

Correctness of the decryption operation

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$$\mu = (c_0 - c_1 s \pmod{q}) \pmod{p}$$

= $((be_0 - pe_1 + \mu) - (ase_0 - pe_2 s) \pmod{q}) \pmod{p}$
= $(p(ee_0 + e_1 - e_2 s) + \mu \pmod{q}) \pmod{p}$
= $(p \cdot \text{``small''} + \mu \pmod{q}) \pmod{p}$

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= $(p \cdot \text{``small''} + \mu \pmod{q}) \pmod{p}$

• $(p \cdot \text{``small''} + \mu \pmod{q}) \pmod{p}$ will return μ only if $\|p \cdot \text{``small''} + \mu\|_{\infty} < \|p \cdot \text{``small''} + p\|_{\infty} < \frac{q}{2}.$

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• For correct decryption, we should have

$$\|p(ee_0 + e_1 - e_2s) + p\|_{\infty} < \frac{q}{2},$$

where s, e, e_0, e_1, e_2 are sampled from the same distribution.

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$$||p(ee_0 + e_1 - e_2s) + p||_{\infty} < \frac{q}{2},$$

where s, e, e_0, e_1, e_2 are sampled from the same distribution.

• Also they are all in $\mathcal{R} = \mathbb{Z}[x]/F(x)$

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$$||p(ee_0 + e_1 - e_2s + 1)||_{\infty} < \frac{q}{2}$$

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- $||p(ee_0 + e_1 e_2s + 1)||_{\infty} < \frac{q}{2}$
- B_0 is an upper bound for coefficients of e, e_0, e_1, e_2 and s where $e, e_0, e_1, e_2, s \in \mathcal{R} = \mathbb{Z}[x]/F(x)$

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- What is the upper bound for the coefficients of ee_0 and e_2s ?
- Infinity norm of a polynomial $\|e\|_\infty$ is the maximum of its coefficients.
- $\|e\|_{\infty}, \|e_0\|_{\infty}, \|e_1\|_{\infty}, \|e_2\|_{\infty}, \|s\|_{\infty} < B_0$

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$$\Phi_8(x) = x^4 + 1$$

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$$c(x) = a(x)b(x)$$
 where $a(x), b(x), c(x) \in \mathcal{R}$

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Correctness Constraint - Example

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- Every coefficient in c_i is the sum of four (n = 4) product terms.
- An upper bound for a product term is B_0^2
- An upper bound for a coefficient is then nB_0^2 (a bit loose upper bound)

Correctness Constraint - Cont.

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Correctness Constraint - Cont.

• Let
$$\eta = ee_0 + e_1 - e_2s$$

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$$\eta = ee_0 + e_1 - e_2s$$

• An upper bound for η is, then, $nB_0^2 + B_0 + nB_0^2$

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•
$$\|p\eta + \mu\|_{\infty} < p(nB_0^2 + B_0 + nB_0^2 + 1) < \frac{q}{2} \Rightarrow q > 2p(2nB_0^2 + B_0 + 1)$$

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• An upper bound for η is, then, $nB_0^2 + B_0 + nB_0^2$

•
$$\|p\eta + \mu\|_{\infty} < p(nB_0^2 + B_0 + nB_0^2 + 1) < \frac{q}{2} \Rightarrow q > 2p(2nB_0^2 + B_0 + 1)$$

• Let $B=(2nB_0^2+B_0)$ bound for η then q>2p(B+1)

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•
$$\mu \in \mathcal{R}_p$$

• $c = E(\mu, pk)$

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- $\mu \in \mathcal{R}_p$
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- Additive Homomorphism:

$$E(\mu, pk) \oplus E(\widetilde{\mu}, pk) = E(\mu + \widetilde{\mu}, pk)$$

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Multiplicative Homomorphism:

$$E(\mu, pk) \odot E(\widetilde{\mu}, pk) = E(\mu \cdot \widetilde{\mu}, pk)$$

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• Our R-LWE-based PKC system is additively homomorphic

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 - Consider two ciphertexts c and $\widetilde{c},$ which encrypts μ and $\widetilde{\mu},$ respectively,

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- Consider also the decryption operation

$$\langle (c_0, c_1), (1, -s) \rangle = (c_0 - sc_1 = \mu + p\eta \pmod{q}) \pmod{p}$$

$$\langle (\widetilde{c_0}, \widetilde{c_1}), (1, -s) \rangle = (\widetilde{c_0} - s\widetilde{c_1} = \widetilde{\mu} + p\widetilde{\eta} \pmod{q}) \pmod{p}$$

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- Our R-LWE-based PKC system is additively homomorphic
 - Now, apply addition to ciphertexts $c+\widetilde{c}$ and decrypt

$$\begin{aligned} \langle c+\widetilde{c},(1,-s)\rangle &= (c_0 + \widetilde{c_0} - sc_1 - s\widetilde{c_1} \pmod{q}) \pmod{p} \\ &= (c_0 - sc_1 + \widetilde{c_0} - s\widetilde{c_1} \pmod{q}) \pmod{p} \\ &= (\mu + p\eta + \widetilde{\mu} + p\widetilde{\eta} \pmod{q}) \pmod{p} \\ &= (\mu + \widetilde{\mu} + p(\eta + \widetilde{\eta}) \pmod{q}) \pmod{p} \end{aligned}$$

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- So long as $\|p(\eta + \tilde{\eta}) + (\mu + \tilde{\mu})\|_{\infty} < \frac{q}{2}$, the modulo q reduction does not happen \Rightarrow CORRECT decryption

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- So long as $\|p(\eta + \tilde{\eta}) + (\mu + \tilde{\mu})\|_{\infty} < \frac{q}{2}$, the modulo q reduction does not happen \Rightarrow CORRECT decryption
- An upper bound for both $p\eta$ and $p\widetilde{\eta}$ is pB

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- An upper bound for both $p\eta$ and $p\widetilde{\eta}$ is pB
- Then, an upper bound for $p(\eta + \widetilde{\eta})$ is the 2pB
- The noise increases linearly

$$\mu^{(1)}, \dots, \mu^{(l)} \to c^{(1)}, \dots, c^{(l)} \left\langle c^{(1)} + \dots + c^{(l)}, (1, -s) \right\rangle = \mu^{(1)} + \dots + \mu^{(l)} + p(\eta^{(1)} + \dots + \eta^{(l)})$$

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$$\begin{split} \mu^{(1)}, \dots, \mu^{(l)} &\to c^{(1)}, \dots, c^{(l)} \\ \left\langle c^{(1)} + \dots + c^{(l)}, (1, -s) \right\rangle &= \mu^{(1)} + \dots + \mu^{(l)} + p(\eta^{(1)} + \dots + \eta^{(l)}) \\ \bullet \text{ An upper bound for } p(\eta^{(1)} + \dots + \eta^{(l)}) \text{ is then } lpB \end{split}$$

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$$\mu^{(1)}, \dots, \mu^{(l)} \to c^{(1)}, \dots, c^{(l)}$$

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• An upper bound for $p(\eta^{(1)}+\ldots+\eta^{(l)})$ is then lpB

• Eventually, the error term will exceed $\frac{q}{2}$ depending on l and p.

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- Eventually, the error term will exceed $\frac{q}{2}$ depending on l and p.
- This means that we can perform only a limited number of homomorphic additions of ciphertexts, whereby this number is determined mainly by p and q.

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- Eventually, the error term will exceed $\frac{q}{2}$ depending on l and p.
- This means that we can perform only a limited number of homomorphic additions of ciphertexts, whereby this number is determined mainly by p and q.
- This is what is known as SOMEWHAT HOMOMORPHIC ENCRYPTION system (SWHE or SHE)

Multiplicative Homomorphism

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• Our R-LWE-based PKC supports homomorphic multiplication of ciphertexts

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 - Suppose two ciphertexts $c = (c_0, c_1)$ and $\tilde{c} = (\tilde{c_0}, \tilde{c_1})$ encrypting μ and $\tilde{\mu}$, respectively.

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- Our R-LWE-based PKC supports homomorphic multiplication of ciphertexts
 - Suppose two ciphertexts $c = (c_0, c_1)$ and $\tilde{c} = (\tilde{c_0}, \tilde{c_1})$ encrypting μ and $\tilde{\mu}$, respectively.
 - Define tensor product of c and \widetilde{c} as

$$c \otimes \widetilde{c} = (c_0 \widetilde{c_0}, c_0 \widetilde{c_1}, c_1 \widetilde{c_0}, c_1 \widetilde{c_1}) = (d_0, d_1, d_2, d_3)$$

Multiplicative Homomorphism - Decryption for Mutiplication of Ciphertexts

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Multiplicative Homomorphism - Decryption for Mutiplication of Ciphertexts

• Now, we have four-dimensional ciphertext, which will decrypt with respect to the "secret key" vector $(1,-s)\otimes(1,-s)=(1,-s,-s,s^2)$ since
Multiplicative Homomorphism - Decryption for Mutiplication of Ciphertexts

• Now, we have four-dimensional ciphertext, which will decrypt with respect to the "secret key" vector $(1, -s) \otimes (1, -s) = (1, -s, -s, s^2)$ since $\langle c \otimes \tilde{c}, (1, -s, -s, s^2) \rangle = (d_0 - d_1 s - d_2 s + d_3 s^2 \pmod{q}) \pmod{q}$ $= c_0 \tilde{c}_0 - c_0 \tilde{c}_1 s - d_2 s + d_3 s^2 \pmod{q}$ $= (c_0 - c_1 s) (\tilde{c}_0 - \tilde{c}_1 s) \pmod{q}$ $= (\mu + p\eta) (\tilde{\mu} + p\tilde{\eta}) \pmod{q}$ $= (\mu \mu + p(\mu \tilde{\eta} + \tilde{\mu}\eta + p\eta \tilde{\eta}) \pmod{q}) \pmod{q}$ $= (\mu \tilde{\mu} + p(\mu \tilde{\eta} + \tilde{\mu}\eta + p\eta \tilde{\eta}) \pmod{q})$

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Multiplicative Homomorphism - Decryption for Mutiplication of Ciphertexts

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- $\bullet\,$ Therefore, $c\otimes \widetilde{c}$ is an encryption of $\mu\widetilde{\mu}$ under the secret key $(1,-s,-s,s^2)$

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$$\langle c \otimes \widetilde{c}, (1, -s, -s, s^2) \rangle = (\mu \widetilde{\mu} + p \eta_f \pmod{q}) \pmod{p}$$

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$$\langle c \otimes \tilde{c}, (1, -s, -s, s^2) \rangle = (\mu \tilde{\mu} + p \eta_f \pmod{q}) \pmod{p}$$

• $\eta_f = \mu \tilde{\eta} + \tilde{\mu} \eta + p \eta \tilde{\eta}$

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- $\langle c \otimes \widetilde{c}, (1, -s, -s, s^2) \rangle = (\mu \widetilde{\mu} + p \eta_f \pmod{q}) \pmod{p}$
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- $\left\|\eta_{f}\right\|_{\infty} < pB + pB + pB^{2}$

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• $\langle c \otimes \widetilde{c}, (1, -s, -s, s^2) \rangle = (\mu \widetilde{\mu} + p \eta_f \pmod{q}) \pmod{p}$

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- For correct decryption $\|\mu\widetilde{\mu} + p\eta_f\|_{\infty} < \frac{q}{2}$
- $\|\mu\|_{\infty}, \|\widetilde{\mu}\|_{\infty} < p, \|\mu\widetilde{\mu}\|_{\infty} < p^2 \text{ and } \|\eta\|_{\infty}, \|\widetilde{\eta}\|_{\infty} < B.$
- $\left\|\eta_f\right\|_{\infty} < pB + pB + pB^2$
- $\|\mu\widetilde{\mu} + p\eta_f\|_{\infty} < p^2 + p^2(2B + B^2) < \frac{q}{2}$

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$$\langle c \otimes \widetilde{c}, (1, -s, -s, s^2) \rangle = (\mu \widetilde{\mu} + p \eta_f \pmod{q}) \pmod{p}$$

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- $\left\|\eta_f\right\|_{\infty} < pB + pB + pB^2$
- $\|\mu\widetilde{\mu} + p\eta_f\|_{\infty} < p^2 + p^2(2B + B^2) < \frac{q}{2}$
- $q > 2p^2(B^2 + 2B + 1)$

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- $\|\mu\widetilde{\mu} + p\eta_f\|_{\infty} < p^2 + p^2(2B + B^2) < \frac{q}{2}$
- $q > 2p^2(B^2 + 2B + 1)$
- Noise increases quadratically.

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